

Docket No. 265774US0X PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Oliver MOELLER, et al.

SERIAL NO: 10/525,376

GAU:

FILED: February 23, 2005

EXAMINER:

FOR: PROCESS FOR THE HYDROFORMYLATION OF OLEFINICALLY UNSATURATED COMPOUNDS, IN PARTICULAR OLEFINS, IN THE PRESENCE OF CYCLIC CARBONIC ESTERS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>265774US0X PCT</b>		SERIAL NO. <b>10/525,376</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Oliver MOELLER, et al.</b>			
				FILING DATE <b>February 23, 2005</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 992 453	11/16/76	MASSIE			
	AB	6 187 962	02/13/01	ARNOLDY et al.			
	AC	5 648 554	07/15/97	MORI et al.			
	AD	5 138 101	08/11/92	DEVON			
	AE	4 668 651	05/26/87	BILLIG et al.			
	AF	4 748 261	05/31/88	BILLIG et al.			
	AG	4 769 498	09/06/88	BILLIG et al.			
	AH	4 774 361	09/27/88	MAHER et al.			
	AI	4 835 299	05/30/89	MAHER et al.			
	AJ	4 885 401	12/05/89	BILLIG et al.			
	AK	5 059 710	10/22/91	ABATJOGLOU et al.			
	AL	5 113 022	05/12/92	ABATJOGLOU et al.			
	AM	5 179 055	01/12/93	WINK et al.			
	AN	5 260 491	11/09/93	WINK et al.			
	AO	5 264 616	11/23/93	ROEPER et al.			
	AP	5 288 918	02/22/94	MAHER et al.			
	AQ	5 360 938	11/01/94	BABIN et al.			
	AR	5 710 344	01/20/98	BREIKSS et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AS	2 062 703	07/01/71	DE (equivalent of GB 1338237)			NO
	AT	27 15 685	10/13/77	DE (equivalent of US 4247486)			NO
	AU	28 02 922	07/27/78	DE (equivalent of GB 1599921)			NO
	AV	0 017 183	10/15/80	EP			NO
	AW	31 26 265	01/20/83	DE			NO
	AX	44 19 898	12/15/94	DE (equivalent of US 5426238)			NO
	AY	1 197 902	07/08/70	GB			NO
	AZ	01/68248	09/20/01	WO			NO
	AAA	01/68249	09/20/01	WO			NO
	AAB	01/68252	09/20/01	WO			NO
	AAC	99/38832	08/05/99	WO			NO
	AAD	01/68247	09/20/01	WO			NO
	AAE	01/68250	09/20/01	WO			NO
	AAF	01/68251	09/20/01	WO			NO
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. <b>265774US0X PCT</b>	SERIAL NO. <b>10/525,376</b>			
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT <b>Oliver MOELLER, et al.</b>				
		FILING DATE <b>February 23, 2005</b>	GROUP			
<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAG	01/68242	09/20/01	WO (with English abstract & equivalent of US 6555611)		NO
	AAH	0 922 691	06/16/99	EP		NO
	AAI	10-226662	08/25/98	JP		NO
	AAJ	00/55164	09/21/00	WO (with English abstract & equivalent of US 6509505)		NO
	AAK	02/00669	01/03/02	WO (with English abstract & equivalent of US 2004/0106512)		NO
	AAL	0 155 508	09/25/85	EP		NO
	AAM	0 472 071	02/26/92	EP (equivalent of US 5254741)		NO
	AAN	0 518 241	12/16/92	EP		NO
	AAO	97/20795	06/12/97	WO		NO
	AAP	1 099 677	05/16/01	EP (equivalent of US 2003/0144559)		NO
	AAQ	1 099 678	05/16/01	EP (equivalent of US 6403837)		NO
	AAR	02/00670	01/03/02	WO (with English abstract & equivalent of US 2003/0195368)		NO
	AAS	10/279587	10/20/98	JP		NO
	AAT	0 472 017	02/26/92	EP (equivalent of US 5643269)		NO
	AAU	01/21627	03/29/01	WO		NO
	AAV	97/40001	10/30/97	WO		NO
	AAW	97/40002	10/30/97	WO		NO
	AAX	0 213 639	03/11/87	EP		NO
	AAY	0 214 622	03/18/87	EP		NO
	AAZ	98/43935	10/08/98	WO (with English abstract & equivalent of US 6265620)		NO
	BAA	09268152	10/14/97	JP (English abstract only)		NO
	BAB	198 10 794	09/16/99	DE (equivalent of US 6440891)		NO
	BAC	199 54 721	05/17/01	DE (equivalent of US 2003/0144559)		NO
	BAD	199 54 510	05/17/01	DE (equivalent of US 6403837)		NO
	BAE	95/06627	03/09/95	WO		NO
	BAF	07082281	03/28/95	JP (English abstract only)		NO
	BAG	1 953 641	01/21/71	DE (equivalent of US 3645891)		NO
	BAH	1 312 076	04/04/73	GB		NO
	BAI	8700881	11/01/88	NL		NO
	BAJ	38 42 819	06/21/90	DE (equivalent of US 5174899)		NO
	BAK	94/19104	09/01/94	WO		NO
	BAL	196 32 600	02/19/98	DE (equivalent of US 5773667)		NO
	BAM	1 103 303	05/30/01	EP		NO
Examiner				Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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Docket No.: 265774US0X PCT

#### STATEMENT OF RELEVANCY

- 1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA-BAM are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
265774US0XPCT*	10/525,376	02/23/05		MOELLER, et al.
262605US0XPCT	10/519,557	12/28/04		MOELLER, et al.

\*Present Application; listed for information  
NFO/hah